

**Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
July 2022**

**Michael Lee Remington**

**Miramontes Capital, LLC  
4701 Teller Ave,  
Newport Beach, California 92660  
[www.MiramontesCapital.com](http://www.MiramontesCapital.com)**

**Firm Contact:  
Isidro Miramontes  
Chief Compliance Officer**

This brochure supplement provides information about Mr. Remington that supplements our brochure. You should have received a copy of that brochure. Please contact Isidro Miramontes if you did not receive Miramontes Capital, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Remington is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #5593001.

## Item 2: Educational Background & Business Experience

### Michael Lee Remington

Year of Birth: 1993

#### Educational Background:

- 1985: Valley College; AA degree, Accounting
- 1985: California State University Northridge; Finance & Accounting

#### Business Background:

- 08/2019 – Present Miramontes Capital, LLC; Wealth Advisor
- 11/2021 – Present Saxony Securities, Inc.; Registered Representative
- 10/2019 – 11/2021 Purshe Kaplan Sterling Investments; Registered Representative
- 01/2017 – 08/2019 Help Worldwide Inc. DBA Ambiance Studios; Studio Manager
- 08/2014 – 08/2019 Transamerica Financial Advisors, Inc.; Registered Representative
- 08/2014 – 08/2019 World Financial Group, Inc.; Agent
- 09/2015 – 06/2016 Natures Image; Agent
- 10/2014 – 03/2015 Baron Brothers Nursery; No Title
- 06/2014 – 10/2014 American Landscape Inc.; Purchasing Manager
- 07/2014 – 08/2014 HBW Advisory Services, LLC; Investment Advisor Representative
- 06/2011 – 06/2014 JP Morgan, LLC; Financial Advisor

#### Exams, Licenses & Other Professional Designations:

- 11/2008 – Series 66
- 10/2008 – Series 7
- 07/2008 – Life, Accident and Health Insurance

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Mr. Remington.

## Item 4: Other Business Activities

Mr. Remington is a registered representative of Saxony Securities, Inc., member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

compensation earned. In order to mitigate this potential conflict of interest, Mr. Remington will recommend products based solely on the client's best interests.

### **Item 5: Additional Compensation**

Mr. Remington does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 6: Supervision**

Isidro Miramontes, Chief Compliance Officer of Miramontes Capital, LLC, supervises and monitors Mr. Remington's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Miramontes if you have any questions about Mr. Remington's brochure supplement at 1-800-460-1595